Real World ESM
US Army Leadership Forum

John Emerson
Vice President, US Federal
06 August 2007

The One Objective for this Presentation

◆ Provide you with a few Tips, based upon Real World Experience, that will help you to be successful with Net Centric Computing (SOA)

Topics

◆ Brief background on AmberPoint

◆ ESM – What it is, What it should be

◆ TALES FROM THE CRYPT

◆ Q&A

AMBERPOINT

AmberPoint – (very) Brief Background

◆ Market Leader, Enterprise Service Management – ESM (aka Runtime Governance or SOA Management) COTS products

◆ ESM product of choice:

- DISA NCES Program

- NGA GeoScout Program

- Multiple initiatives and programs within the Intelligence Communities

◆ Investors include Motorola and SAP

◆ Resold or OEMed by Microsoft, BEA, Tibco, IBM, Software AG, Iona, iWay, others

◆ SOA – High Complexity, Many Moving Parts

◆ One Common (and correct) Response has been to Standardize SOA Infrastructure via a Framework

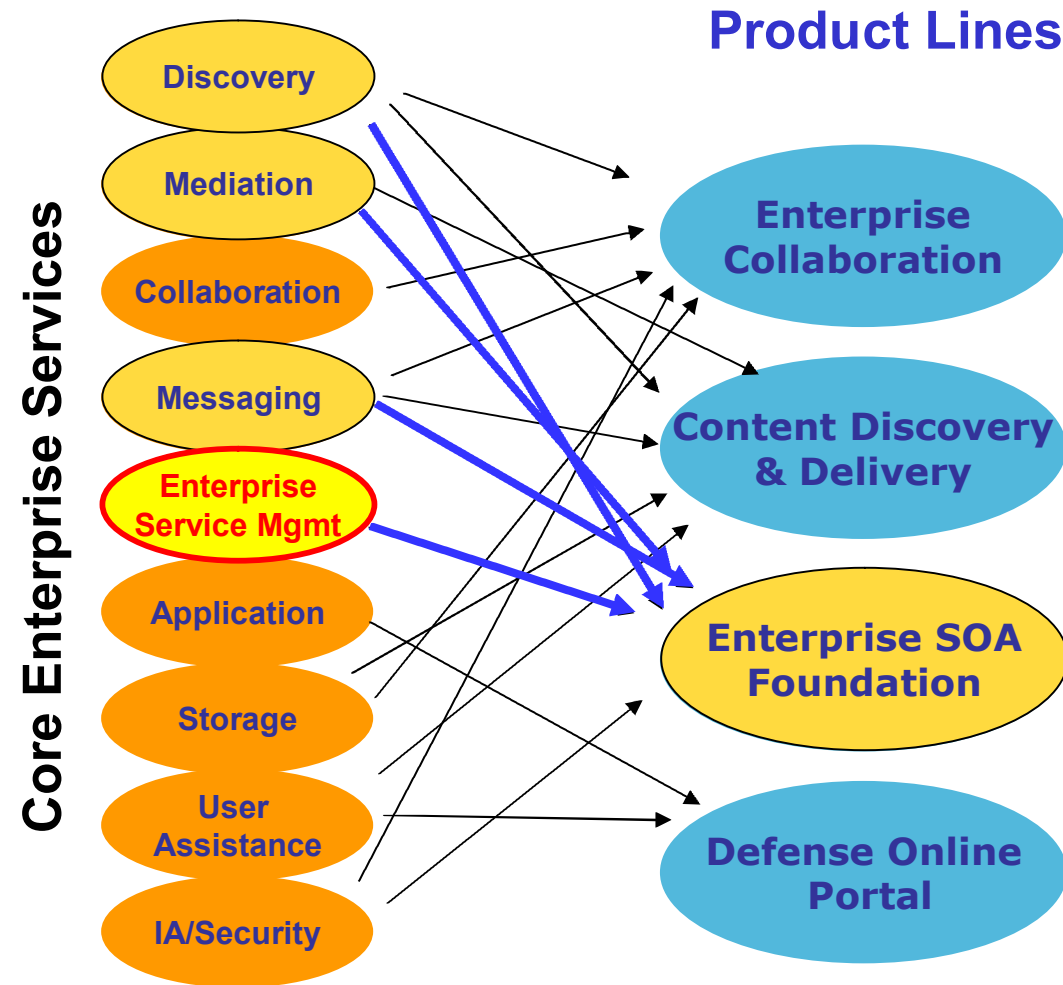◆ Some organizations who have taken this approach include

And…

● **Also Developing Frameworks**

AMBERPOINT

NCES Defines Core Enterprise Services

Core Enterprise Services

Product Lines

- Discovery
- Mediation
- Collaboration
- Messaging
- Enterprise Service Mgmt
- Application
- Storage
- User Assistance
- IA/Security

- Enterprise Collaboration
- Content Discovery & Delivery
- Enterprise SOA Foundation
- Defense Online Portal

◆ NCES defines nine core enterprise services grouped into four product lines

◆ NCES is a set of standards and specifications enabling data producers and users to share information at the right place and right time

◆ NCES uses centrally managed collaborative governance to provide the services to the DoD

◆ SOA Foundation + Security is DISA's "Framework"

AMBERPOINT

Enterprise Service Management - From DISA Website (Summary)

◆ **Description**

- Enterprise Service Management (ESM) is a continuous process of managing, measuring, reporting, and improving the quality of service (QoS) of systems and applications.
- "…the component that provides Web service management."
- "…integrate with several other service management offerings to provide extensive situational awareness."

◆ **Capabilities**

- Monitor and measure
- Report and visualize … performance metrics
- Monitor and enforce service level agreement (SLA) compliance
- Manage Web service lifecycle
- Log and audit Web service activities
- Anticipate Web service problems and send alert notifications
- Pinpoint the root cause of Web service problems

Most People perceive ESM to be...

◆ **Description**

- Enterprise Service Management (ESM) is a continuous process of managing, measuring, reporting, and improving the quality of service (QoS) of systems and applications.
- "…the component that provides Web service management."
- "…integrate with several other service management offerings to provide extensive situational awareness."

◆ **Capabilities**

- Monitor and measure
- Report and visualize … performance metrics
- Monitor and enforce service level agreement (SLA) compliance
- Manage Web service lifecycle.
- Log and audit Web service activities
- Anticipate Web service problems and send alert notifications
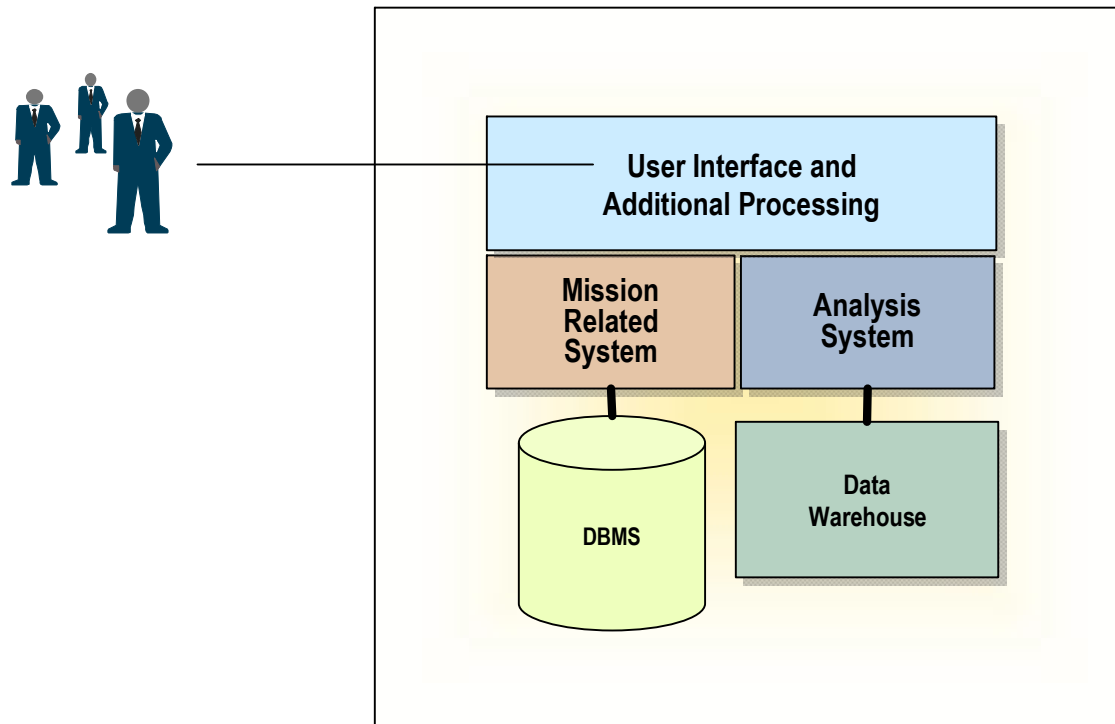- Pinpoint the root cause of Web service problems

Monitor, Measure, Report, Alert =
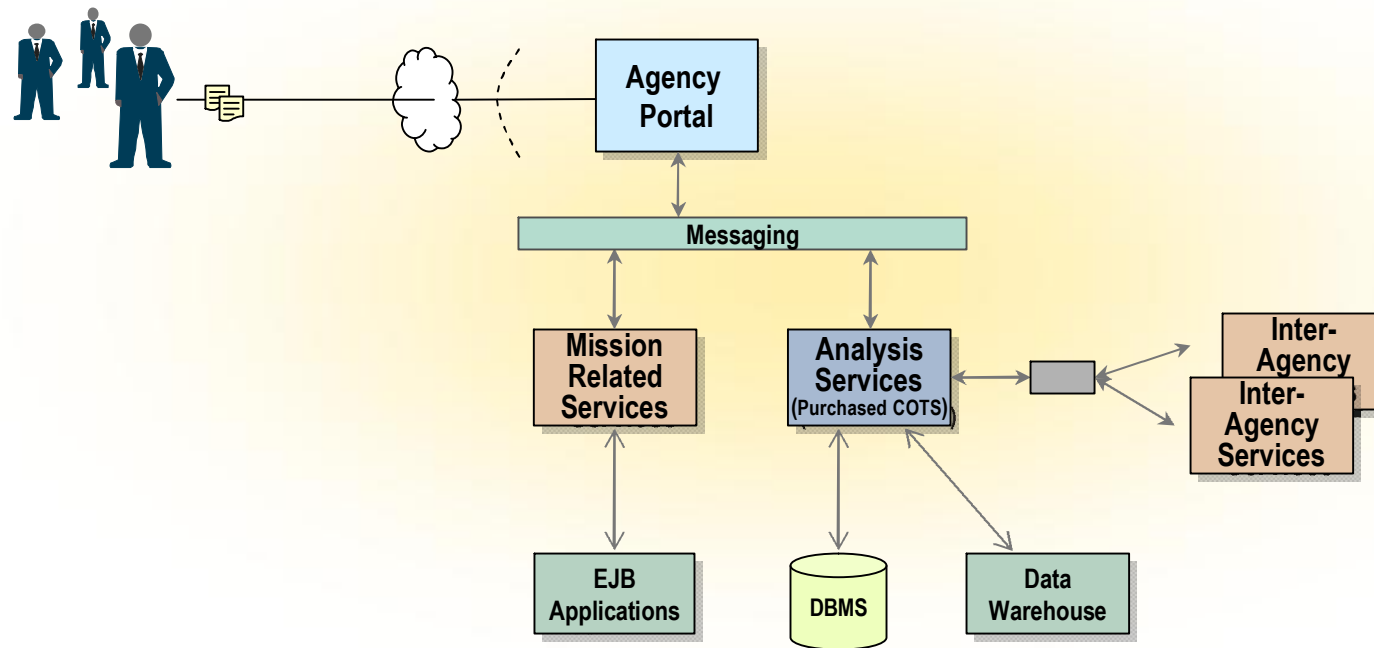Traditional Management, Passive Activities

AMBERPOINT

Tip #1

◆ If you use Traditional Management Tools and Techniques <u>alone</u>, you will fail
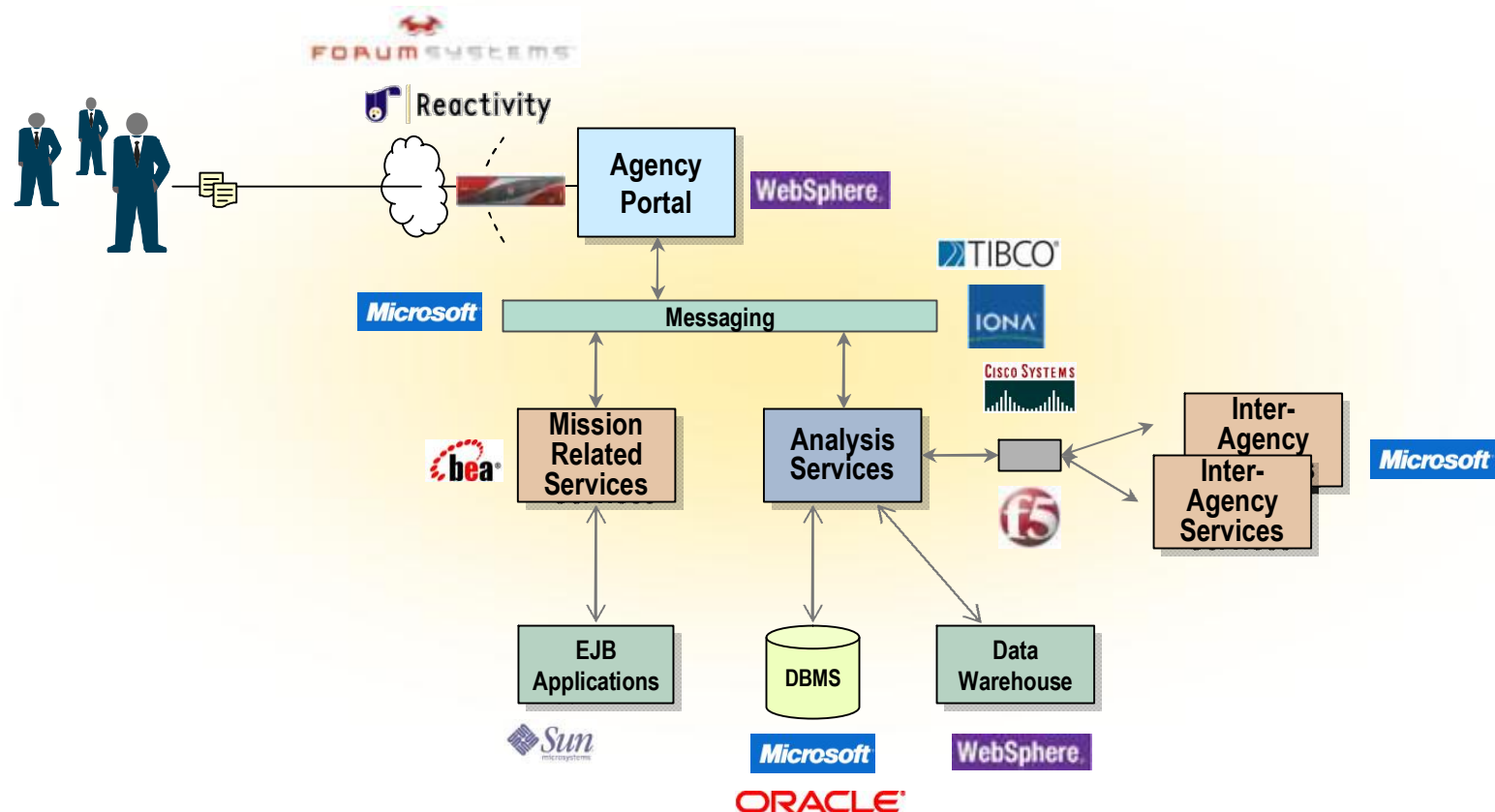
Tightly Coupled Architecture

Issues occur within System Often on a single Computer System, Network, Database Up/Down?

Loosely Coupled (Net Centric) Architecture

Issues occur within <u>and between</u> Systems

Net Centric Complexity

Issues occur within <u>and between</u> Systems
Over Heterogeneous Technologies

New Types of Problems

◆ Everything Seems to be Up & Running (Green Lights), but the users are calling the Help Desk claiming that they aren't getting service

◆ Non-Responses and Cor̶̶̶̶̶

◆ Debugging Distri̶̶̶̶

◆ Success – (Archi̶̶̶̶

◆ S̶̶̶̶̶̶̶̶̶̶̶̶̶̶̶̶̶̶̶̶̶̶̶̶̶̶al Security

̶̶̶̶̶̶̶̶̶̶̶̶̶̶̶̶at are Shared across dozens

Application Issues, not Operations Issues

AMBERPOINT

Tip #1

◆ If you use Traditional Management Tools and Techniques <u>alone</u>, you will fail because Traditional Management is an Operations Problem and SOA Management is an <u>Application</u> Problem

◆ As a Result, the SOA Management System will be <u>Monitored</u> by your Operations Staff but <u>Used</u> by your Development and Tier II/Tier III Staffs to solve problems that they would otherwise have to write complex code to fix.

Traditional Management vs. SOA Management

Traditional Management	SOA Management
◆ Focused on Hardware, Network, Underlying Infrastructure	◆ Focused on the Messages as they Flow between Components
◆ Passive	◆ Active
◆ Quantitative	◆ Qualitative

❑ The Whole Objective of a SOA System is to deliver the right messages to the right people at the right time

❑ From the User's perspective, the Message IS the Application

❑ Thus, to effectively manage a SOA-based System, you have to Mine Information Out of the Messages and use that Information to Improve the overall Quality of the User's Experience

Mine Information Out of the Messages?

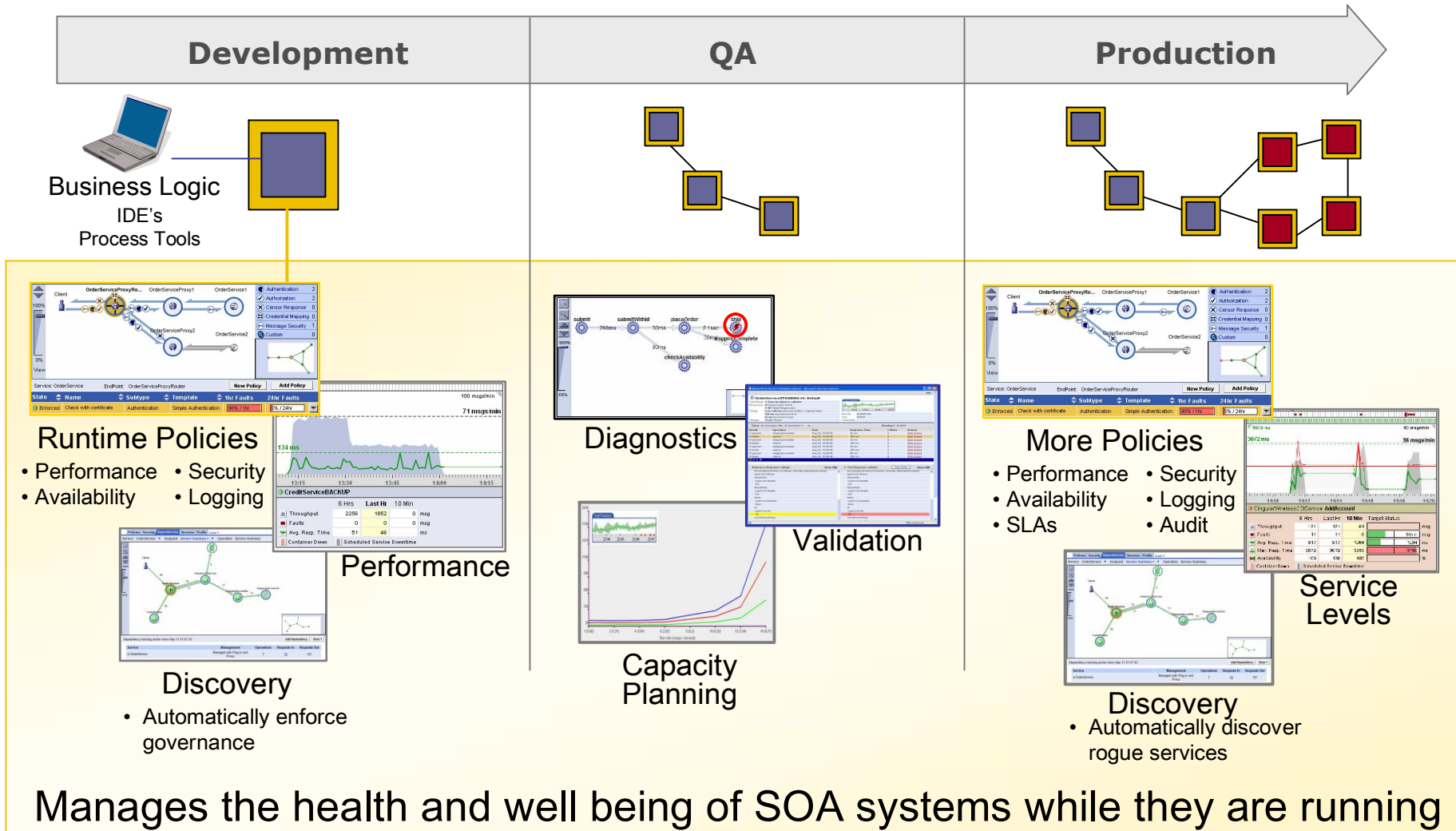```
<USER_INFO>
    <ORGANIZATION>AMC</ORGANIZATION>
    <RANK>Major</RANK>
    <FIRST_NAME>Warren</FIRST_NAME>
    <LAST_NAME>Jones</LAST_NAME>
</USER_INFO>
<REQUIREMENT>
    <STATUS>1</STATUS>
    <SYSTEM>Logistics</SYSTEM>
</REQUIREMENT>
```

```
<USER_INFO>
    <ORGANIZATION>101st Airborne Div
        </ORGANIZATION>
    <RANK>SGT</RANK>
    <FIRST_NAME>Tom</FIRST_NAME>
    <LAST_NAME>Anderson</LAST_NAME>
</USER_INFO>
<REQUIREMENT>
    <STATUS>4</STATUS>
    <SYSTEM>Blue Force Tracking</SYSTEM>
</REQUIREMENT>
```

ESM Isn't just for Production

ESM simplifies real-time visibility and control
at Each Stage of the SOA Lifecycle

Development	QA	Production

Business Logic
IDE's
Process Tools

Runtime Policies
- Performance
- Security
- Availability
- Logging

Performance

Discovery
- Automatically enforce governance

Diagnostics

Validation

Capacity Planning

More Policies
- Performance
- Security
- Availability
- Logging
- SLAs
- Audit

Service Levels

Discovery
- Automatically discover rogue services

Manages the health and well being of SOA systems while they are running

AMBERPOINT

Enterprise Service Management - From DISA Website (Summary)

◆ Description

- Enterprise Service Management (ESM) is a continuous process of managing, measuring, reporting, and improving the quality of service (QoS) of systems and applications.
- "…the component that provides Web service and underlying component management."
- "…integrate with several other service management offerings to provide extensive situational awareness."

◆ Capabilities

- Monitor and measure
- Report and visualize … architecture and mission metrics
- Monitor and enforce service level agreement (SLA) compliance
- Manage Web service lifecycle
- Log and audit Web service activities
- Anticipate Web service problems and send alert notifications
- Pinpoint the root cause of Web service and system flow problems

Application Level Problems Solved by ESM

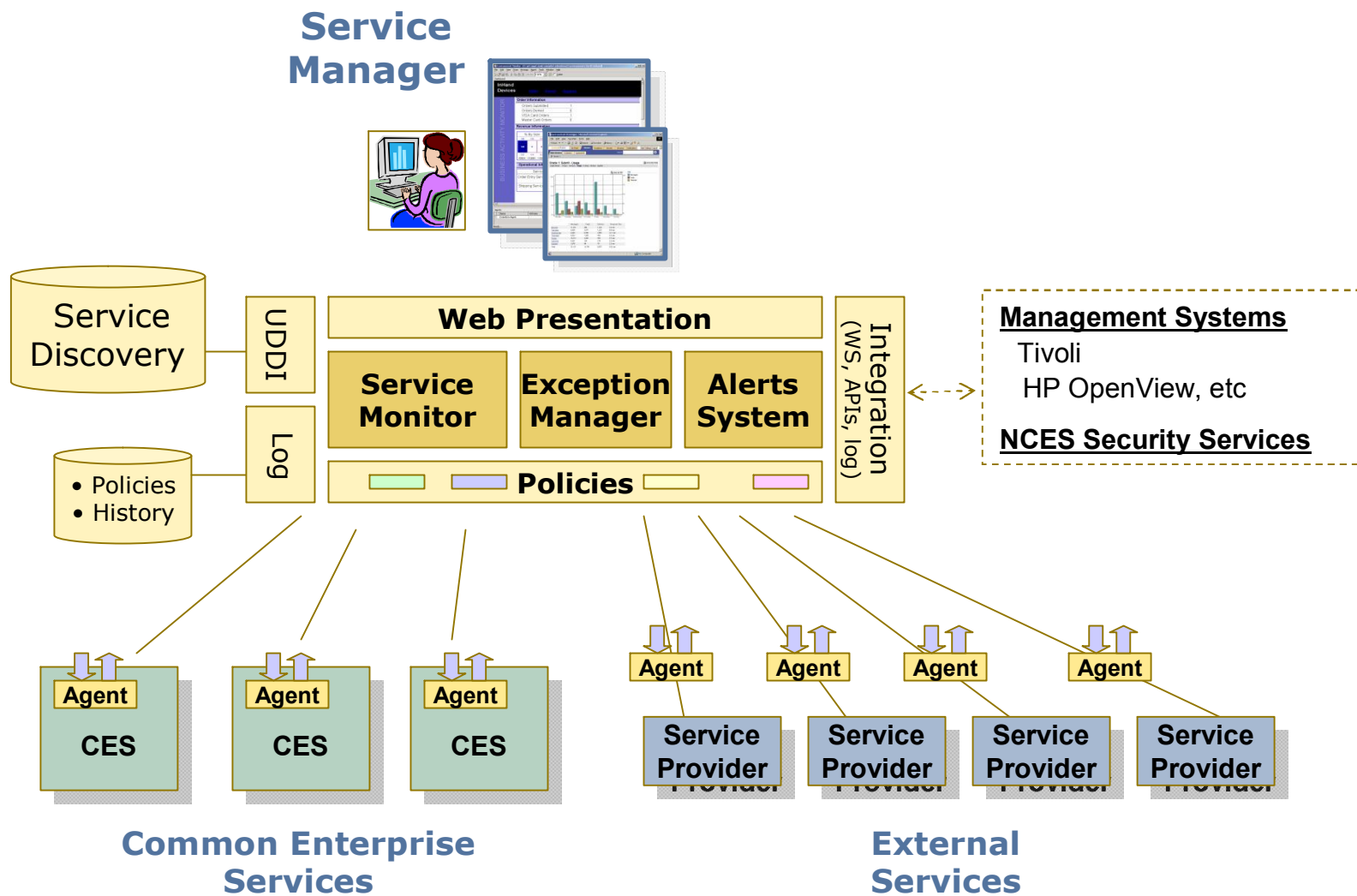◆ Two Types
  - What's Going On?
  - Proactively Fix It

◆ "What's Going On" Types of Problems
  - Runtime Discovery
    – Synchronized with Static Service Registry
  - Visualization
  - Quantitative and Qualitative Data Collection (User, Mission-specific Info, etc.)
  - Root Cause Analysis and Distributed System Debugging
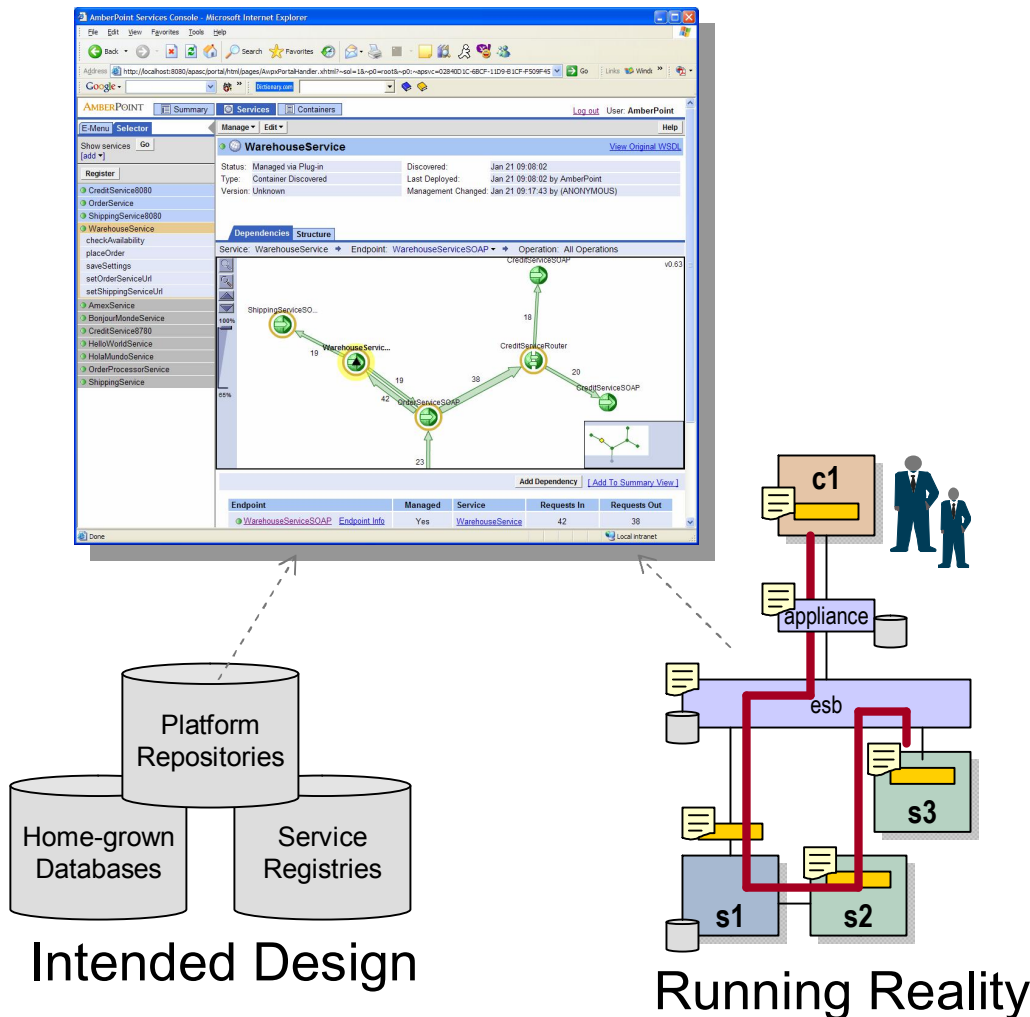 - Validation – Simulating Multiple Applications accessing Shared Components in QA/Test

◆ "Proactively Fix It" Types of Problems
  - Endpoint Security and Situational Access
  - Prioritization
  - Scalability – Dynamic Expansion or Throttling
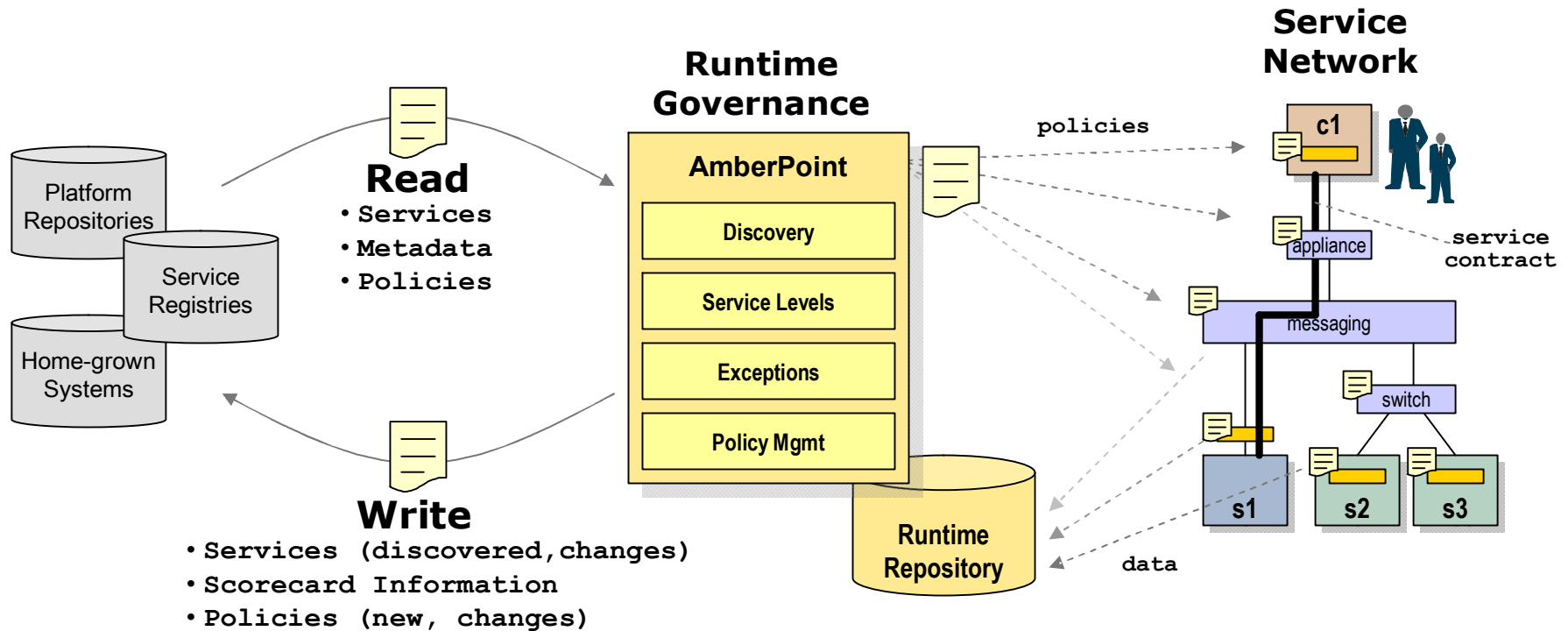
• DISA ESM Services Model

Service Manager

Service Discovery

UDDI

Log

- Policies
- History

Web Presentation

| Service Monitor | Exception Manager | Alerts System |

Policies

Integration (WS, APIs, log)

Management Systems
Tivoli
HP OpenView, etc

NCES Security Services

Agent — CES
Agent — CES
Agent — CES

Agent — Service Provider
Agent — Service Provider
Agent — Service Provider
Agent — Service Provider

Common Enterprise Services

External Services

Runtime Discovery and Visualization

Intended Design

Running Reality

- ◆ Dynamic discovery of services and supporting components "in the wild"
  - Web Services
  - Databases
  - JAX-RPC and JMS messaging
  - EJBs
  - ESBs
- ◆ Automatically tracks transactions
  - Non-invasive; no message modifications
  - Feeds impact analysis, error detection, etc.
- ◆ In most environments, no single source of information is always right

Ensures a complete view of the SOA environment

Automatic Synchronization with Design-time Governance

Read
- **Services**
- **Metadata**
- **Policies**

Write
- **Services (discovered, changes)**
- **Scorecard Information**
- **Policies (new, changes)**

Platform Repositories

Service Registries

Home-grown Systems

Runtime Governance

AmberPoint

| Discovery |
| Service Levels |
| Exceptions |
| Policy Mgmt |

Runtime Repository

Service Network

policies

service contract

c1

appliance

messaging

switch

s1   s2   s3

data

◆ Publishes
- Changes to endpoints and policies
- Scorecard metrics
- Dependencies

◆ Discovers discrepancy between intentions (design/dev) and reality (runtime)

Design   vs.   **Reality**

Supports federated information exchange

AMBERPOINT

Customizable Policy Library

◆ Pre-built library of most commonly used runtime policies

- ◆ Instrumentation
- ◆ Content-based Policies
- ◆ Versioning
- ◆ Authentication – certificates, credentials, SAML, etc
- ◆ Authorization
- ◆ Censorship
- ◆ Credential Mapping
- ◆ Crypto – Signatures & Encryption

- ◆ Throttling
- ◆ Quality of Service
  - Performance
  - Availability
  - Throughput
- ◆ Service Level Agreements
- ◆ Exception Handling
- ◆ Validation
- ◆ Message Control

◆ User-extensible
- Enterprise-wide compliance
- Application- and Industry-specific policies

- ◆ Better control by eliminating "random" policy definitions
- ◆ Better reliability by using only pre-tested policies
- ◆ Reduces cost by minimizing time and skills to define new policies

Service Level Management
Service- and Business-level Visibility

Service View

Alerts

User Summary and Objectives

Historical Reporting

◆ Enforces agreements based on business criteria
- "Gold" users, Accounting systems at the end of quarter, etc.
- Multiple objectives per agreement, flexible calendars, scheduled downtimes, fixed and sliding time windows

◆ Granular visibility – groups, users, services, operations

◆ Preventative and corrective actions

Exception Management
Automatic transaction tracking and diagnosis

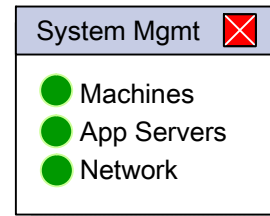"Mission Specific" Exceptions

Process Flow
- Exception context
- Response times

Technical Faults

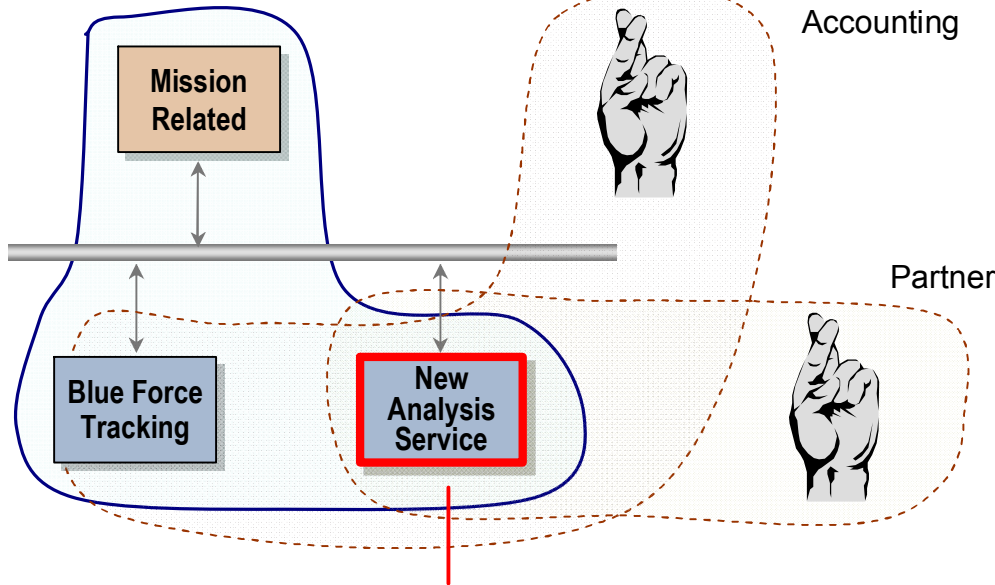Drill into Exception Content & Context

Augments System-level View

System Mgmt

- Machines
- App Servers
- Network

◆ "Business" (Mission-Specific) visibility using exception content and context
  ▪ Unique, Mission Specific-processing failures
  ▪ Alert when "no order confirmation within 3 minutes after completion"

◆ Visibility in operational issues – services, transactions, operations, messages
  ▪ SOAP faults, database errors, etc.

SOA Validation
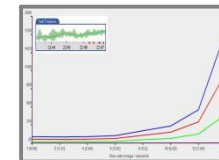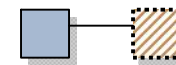## Safe environment to validate changes before deployment

Order Entry

Mission Related

Blue Force Tracking

New Analysis Service

Accounting

Partner

Validation

- Functionality
- Policy Changes

Capacity Planning

- Baseline Performance
- Capacity Changes

Simulation

- Simulate supporting services

Functionality	*Will changes break dependent systems?*
Performance	*Acceptable performance and throughput?*
"What If" for Policy Changes	*Will new policy (security, routing, etc.) break dependent applications?*
"What If" for Capacity Changes	*What will happen if usage doubles? Triples?*

AMBERPOINT

Application Level Problems Solved by ESM

◆ Two Types
- What's Going On?
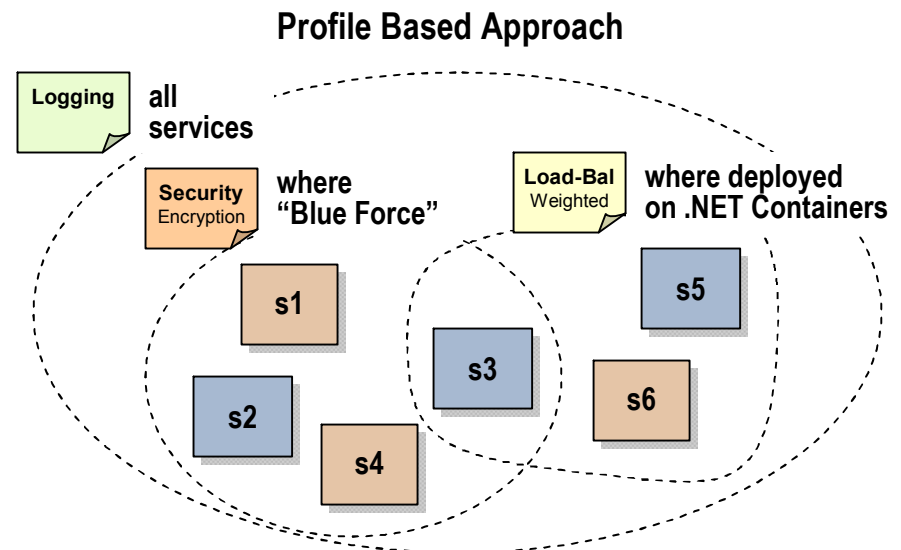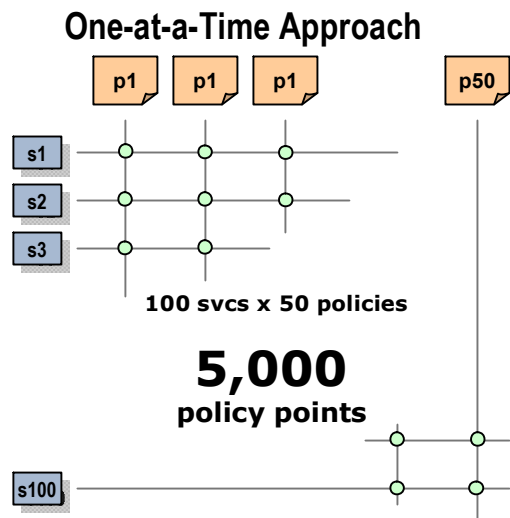- Proactively Fix It

◆ "What's Going On" Types of Problems
- Runtime Discovery
  - Synchronized with Static Service Registry
- Visualization
- Quantitative and Qualitative Data Collection (User, Mission-specific Info, etc.)
- Root Cause Analysis and Distributed System Debugging
- Validation – Simulating Multiple Applications accessing Shared Components in QA/Test

◆ "Proactively Fix It" Types of Problems
- Endpoint Security and Situational Access
- Prioritization
- Scalability – Dynamic Expansion or Throttling

AMBERPOINT

Policies Replace Coding

- Based upon WS-Policy, a Standard Way to Deploy Instructions for Services

- Automatically applies policies based on <u>dynamic attributes</u> and <u>message content</u>.
  - All production services
  - All services in Accounting application
  - All services deployed in .NET containers

- <u>User-defined attributes</u> for services, containers & policies

- Assignments are reevaluated as attributes change

One-at-a-Time Approach

p1 p1 p1   p50

s1
s2
s3

100 svcs x 50 policies

5,000
policy points

s100

Profile Based Approach

Logging **all services**

Security Encryption **where "Blue Force"**

Load-Bal Weighted **where deployed on .NET Containers**

s1
s2
s3
s4
s5
s6

- Can manage system on "autopilot" where policies are automatically assigned as appropriate.

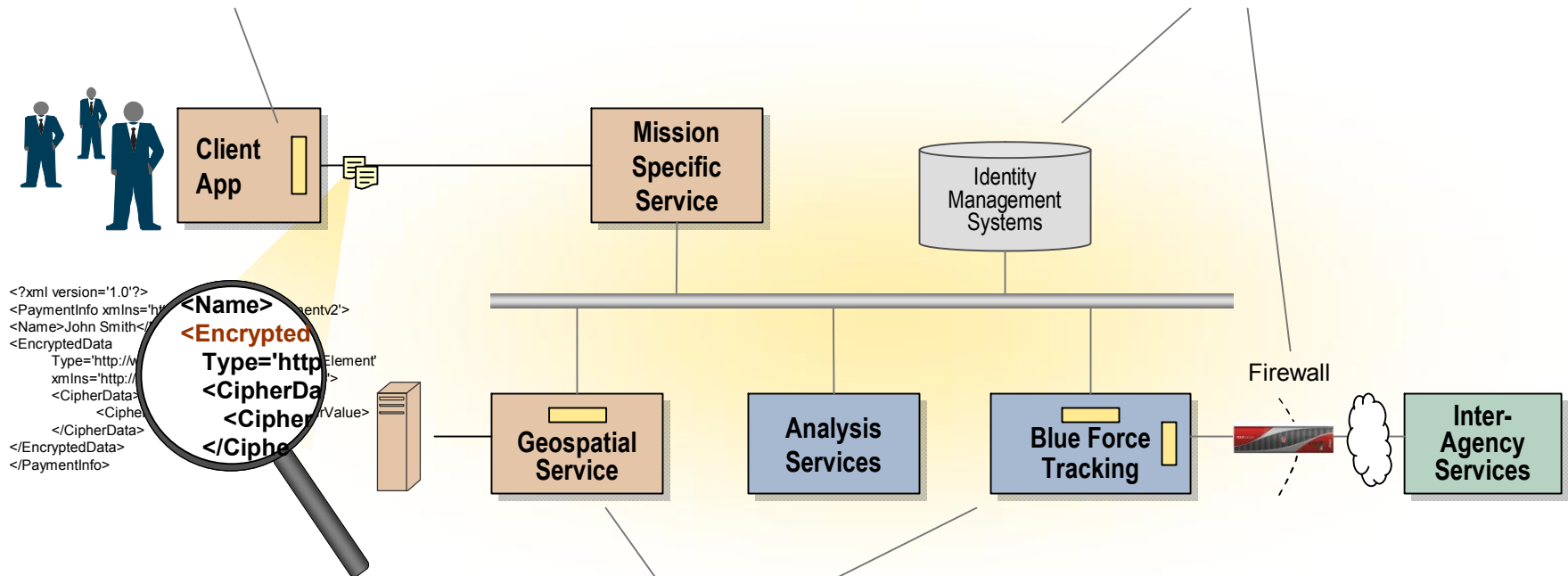- Eliminates production mistakes by reducing manual steps.

AMBERPOINT

First Mile Security
- Client-side agent
- Automatic enforcement of
  out-bound security

Extensive Integration
- Identity Management Systems
- Security Appliances
- App Server / ESB / OS Security

Client App

Mission Specific Service

Identity Management Systems

```
<?xml version='1.0'?>
<PaymentInfo xmlns='h                entv2'>
<Name>John Smith<
<EncryptedData
      Type='http://w
      xmlns='http://
      <CipherData>
            <Cipher
      </CipherData>
</EncryptedData>
</PaymentInfo>
```

<Name>
<Encrypted
   Type='http Element'
   <CipherDa   >
      <Cipher rValue>
   </Ciphe

Geospatial Service

Analysis Services

Blue Force Tracking

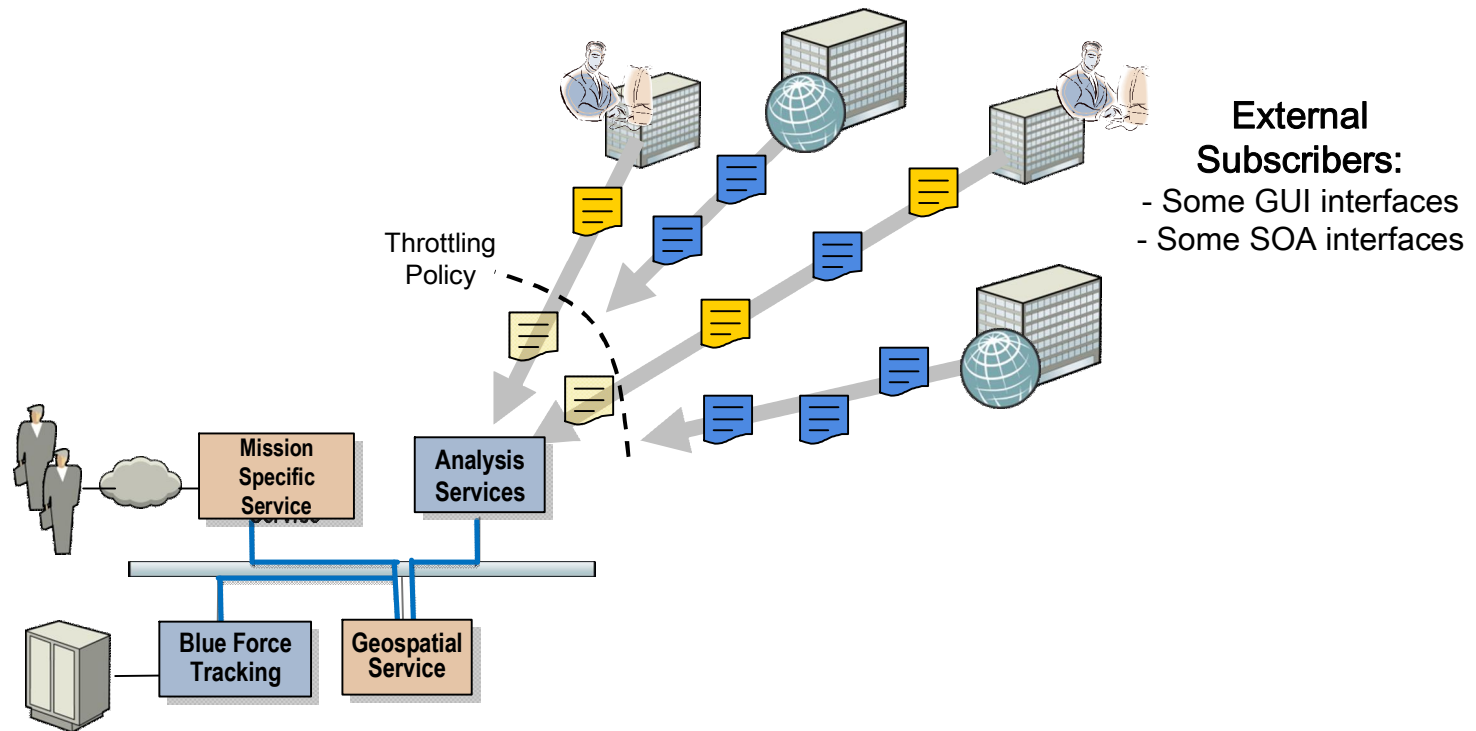Firewall

Inter-Agency Services

Last Mile Security
- Plug-ins provide endpoint protection
- No ability to circumvent

Policy Library
- Authentication
- Authorization
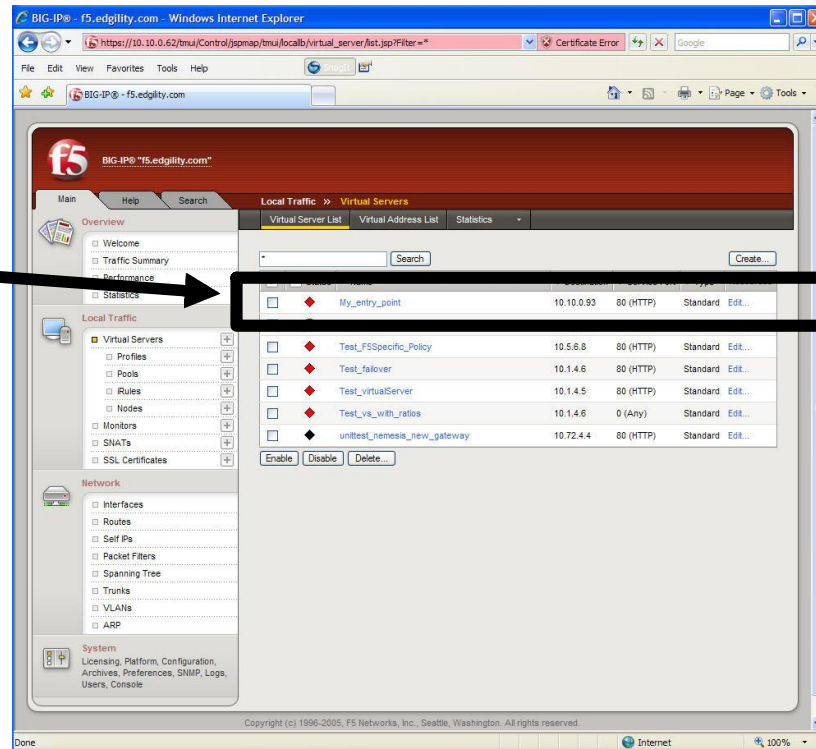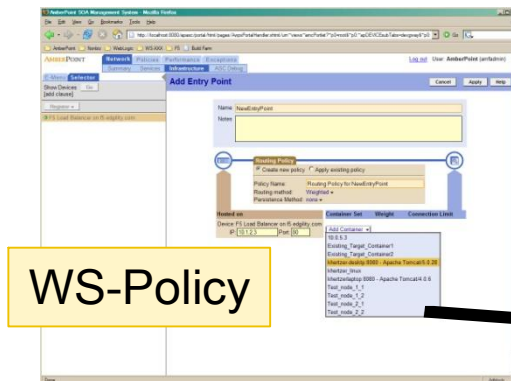- Credential Mapping
- Censorship
- Crypto

AMBERPOINT

Automatic Throttling
Protects against uncontrolled demand

External Subscribers:
- Some GUI interfaces
- Some SOA interfaces

Throttling Policy

Mission Specific Service

Analysis Services

Blue Force Tracking

Geospatial Service

- ◆ Regulates use based on user, types of requests, time of day, etc.
- ◆ Protects legacy systems from runaway SOA use

Dynamic Capacity Expansion

WS-Policy

F5 iRules

◆ Add Additional Service Replicates based upon SLA Thresholds

◆ WS-Policy routing / failover / load balancing policies to Hardware Products like F5, Cisco, others or Software ESBs like Microsoft BizTalk, others
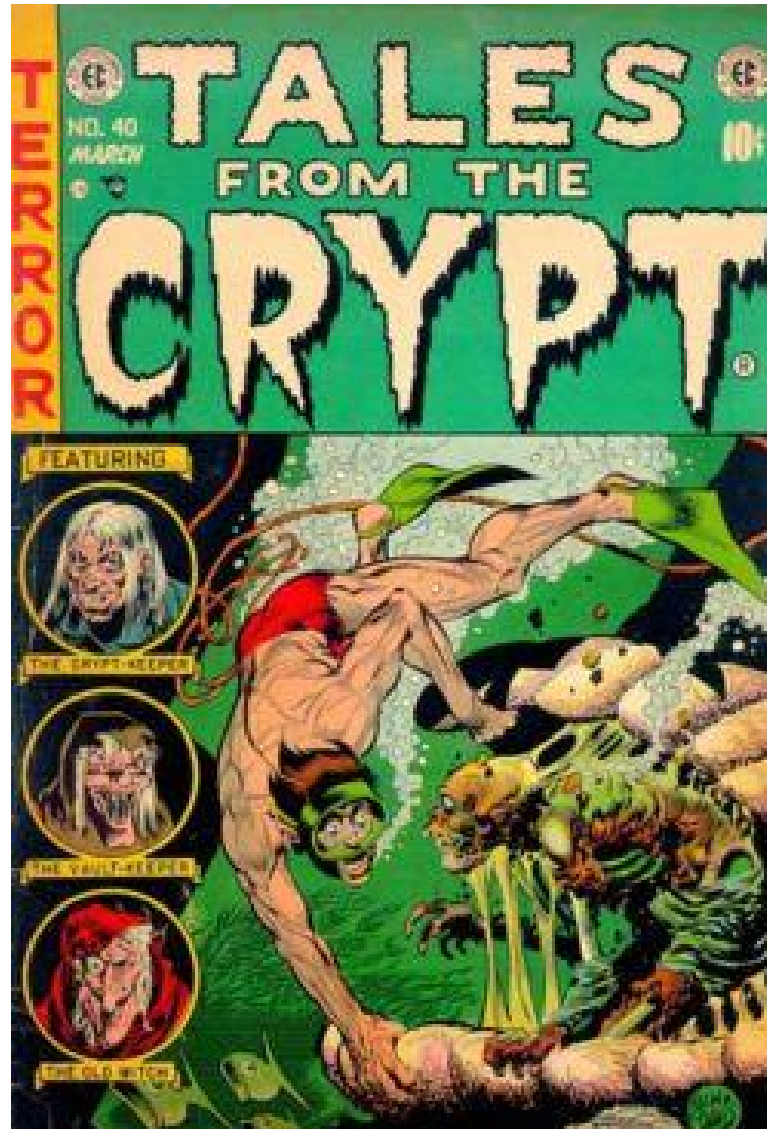
ESM Summary

◆ ESM provides Traditional Measuring and Monitoring as you would expect

◆ But it also provides a number of "Non-Traditional" capabilities such as

- Visualization
- Synchronization with Registries
- Root Cause Analysis and Distributed Debugging
- Validation – Simulation for testing Shared Components in Isolation
- Security
- Prioritization
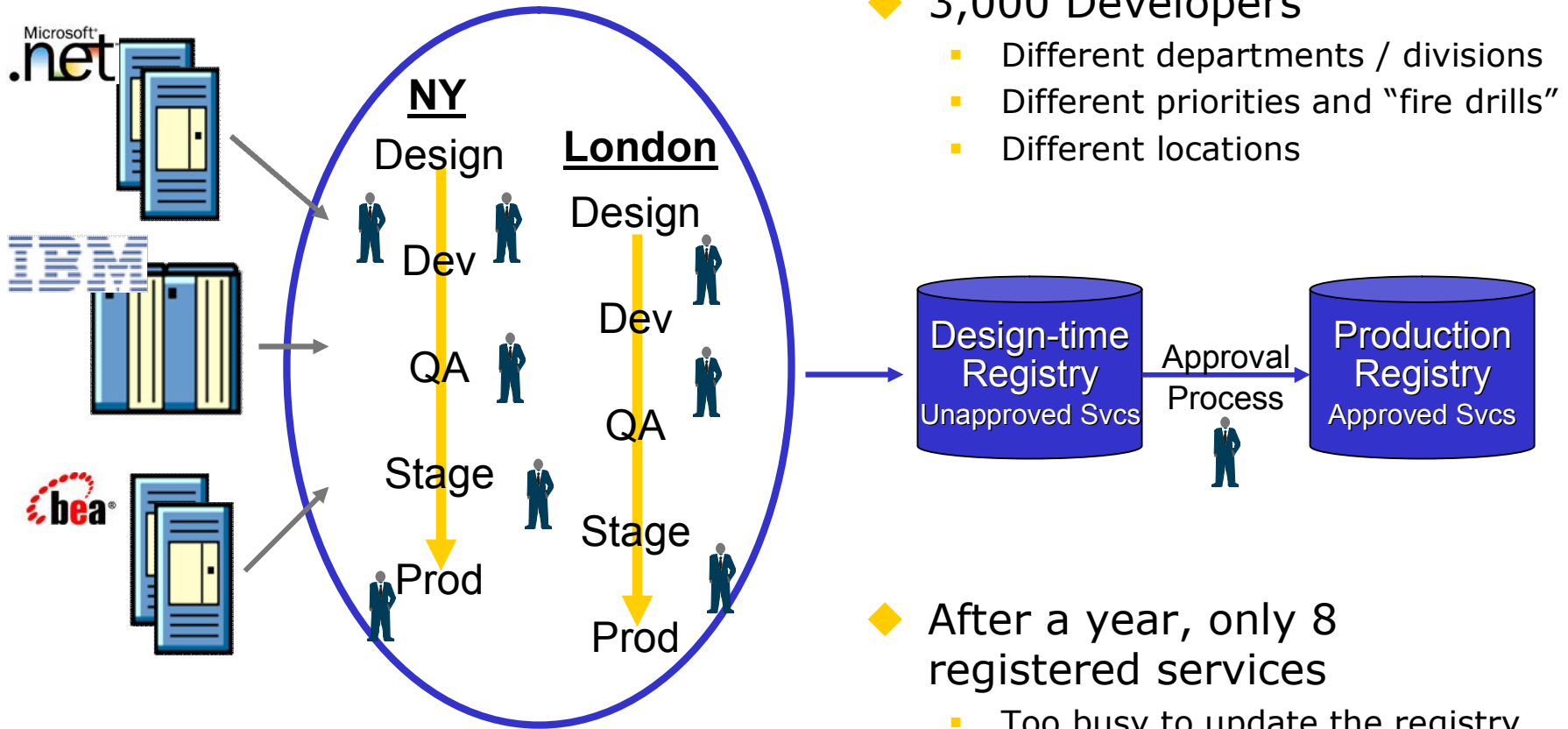- Scalability – Dynamic Expansion or Throttling

Tip #2

◆ Human Nature will derail your attempts to use Design-time Governance (UDDI Registries, etc.)

◆ 3,000 Developers

- Different departments / divisions
- Different priorities and "fire drills"
- Different locations

NY
Design
Dev
QA
Stage
Prod

London
Design
Dev
QA
Stage
Prod

Design-time Registry
Unapproved Svcs

Approval Process

Production Registry
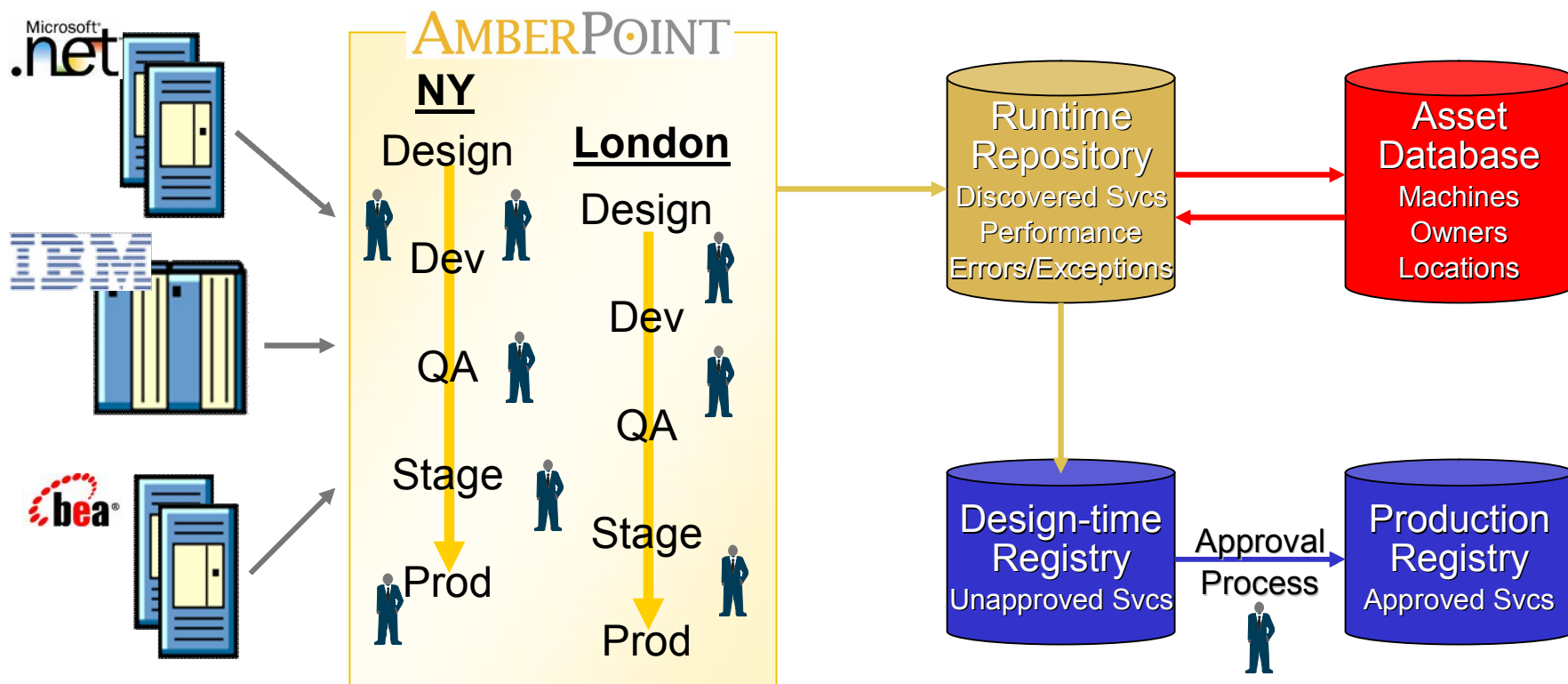Approved Svcs

◆ After a year, only 8 registered services

- Too busy to update the registry
- No value to the developers

Tip #2

◆ Human Nature will derail your attempts to use Design-time Governance (UDDI Registries, etc.) but creative use of ESM can Solve this Issue

AMBERPOINT

Using Automatic Runtime Governance to Achieve Design-time Governance

- ◆ Uses AmberPoint's automatic discovery of running services and dependencies at each stage of their SOA lifecycle
  - ▪ Synchronizes with home-grown Asset DB and Design-time Repository

Service Detail Screen

- When service was discovered

- How long service has been up

- Type of service

- Link to WSDL

- Metadata from Asset DB (42 fields)

- All data can be used in policy definitions

Discovered Info

Asset DB Info

AMBERPOINT

"What's in it for me?" A lot.
Comprehensive insight without lifting a finger

AMBERPOINT

NY
Design
Dev
QA
Stage
Prod

London
Design
Dev
QA
Stage
Prod

Dependencies

Performance

Diagnostics

Change Analysis

◆ Opt-in for expanded control
  ▪ Security, load balancing, failover, etc.

Results: Visibility and Cooperation

◆ From only 8 registered services after previous approach to 600 registered services in first couple months

◆ ROI reporting visible throughout the company

Web Services in QA by Division run on: 7/6/2006 9:00:20AM	
Division	**Services**
Undefined	1
Equities	17
Fixed Income	2
Information Technology	1
Investment Management	12
Operations	3

◆ Runtime results automatically feed other consoles
- JMX-based home grown system
- Internal SOA coordination site
- HP OpenView

◆ <u>Transformed the environment to one where groups were vying to be the ones that could "cooperate the most"</u>
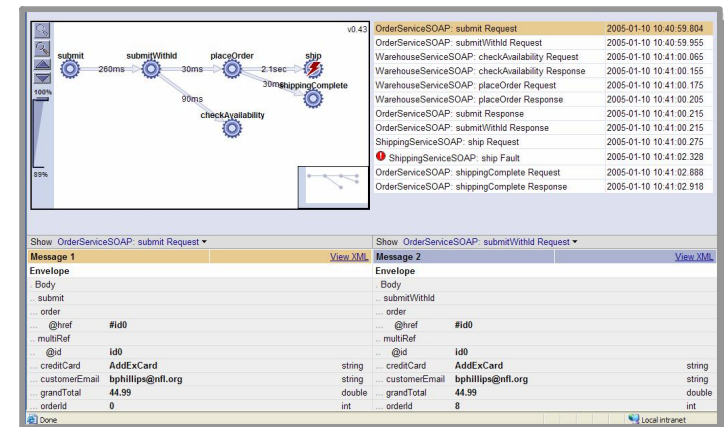
Tip #3

◆ Things will Break Differently and you won't be able to fix them the way you have been fixing them in the past

Large Telecommunications Company

◆ Complex Order Management Process

◆ 65 – 100 Different Steps

◆ 100% Failure – Every Single Order Bombed at Some Point in the Process

◆ Team of 40 (expensive) Full Time Consultants

- Digging through Logs
- Deciphering Problems
- Manual Resolution

◆ Solution - Exception Management

- Correlate Messages into Transactions and Flows
- Automated Alerts when Process Halts
- All Related Messages sent to Consultant
- Automate Resolution as Patterns Determined

AMBERPOINT

Zurich Insurance

◆ Complex, Composite Services built with Standard Development Tools and Debuggers

◆ Services all worked in Isolation

◆ When Integrated as a System, however, problems emerged

- Some Responses Slow
- Some Responses never Returned
- No Pattern to Problem
- Development team spent 3 Weeks trying to find Source

◆ Development Team Monitored using Exception Management Capability of ESM

- Automatically Detected Problematic Service within Minutes

◆ Determined Java Thread Lock Issue that only occurred under Load after a certain amount of Time had passed

Aegis Mortgage

◆ Microsoft Sharepoint Portal as a front end to Loan Processing System comprised of multiple packaged applications.

- System Accounts for $1.2 Billion Annually in loans

◆ Everything worked fine in Development and QA/Test, but Problems in Production

- Customers would File Loan Applications but get no Responses
- Aegis did not know about it unless the customers called them

◆ Potential lost revenue estimated at $20 – 25 Million per Year

◆ Used ESM to Debug System While In Production

- "Proof of Concept" at 11 PM on a Friday Night
- Found System Timeouts, Database Driver Problems within first hour

◆ Paraphrased Quote from the System Architect:

"We … can see all the (Web Service) calls and their XML payloads for a given user's action. This is HUGE for problem resolution from the HelpDesk all the way to the Developer".

Tip #4

◆ You can Now do things that you could Never Do Before, especially with Security and Mining Data in Real Time

Financial Services
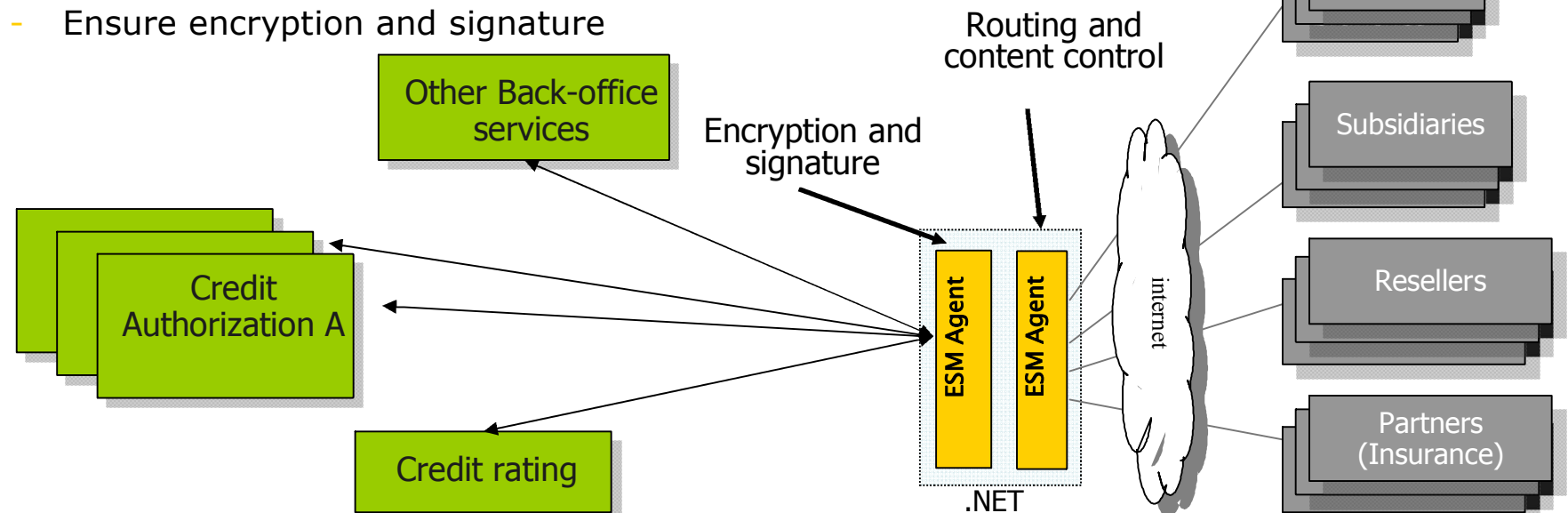
Largest Bank in Israel with $500B assets.

Security infrastructure for Back-office access

Credit rating and credit authorization offered to internal and external applications through Web services.

Security layer objectives:

- Control messages content to detect possible intrusions,
- Take care of basic routing to back-end services depending on changing business rule
- Ensure encryption and signature

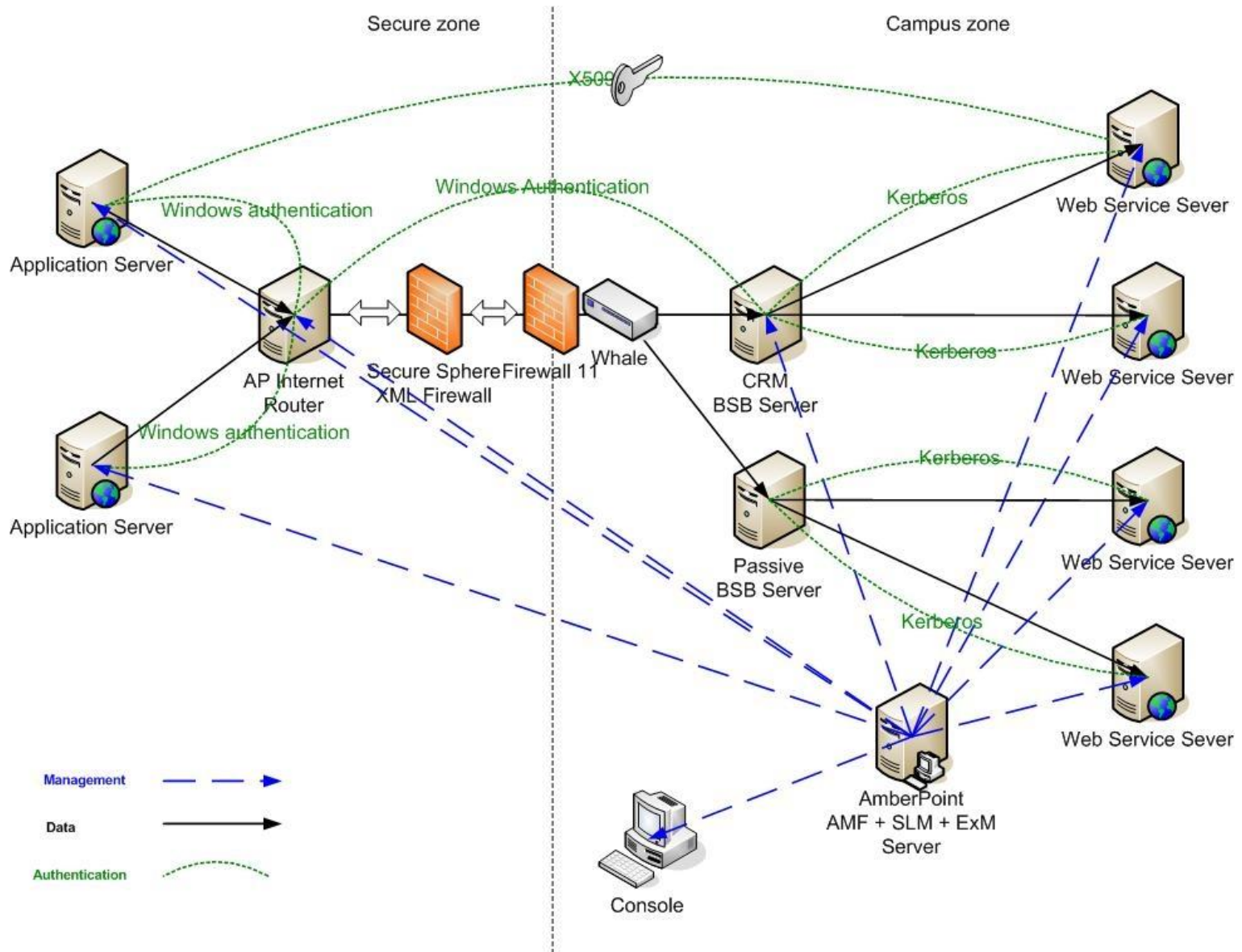## ESM met key requirements

◆ Message content control

◆ Content dependant encryption

◆ Routing depending on content and context

◆ Real-time dashboards

Services consumers

Other Back-office services

Encryption and signature

Credit Authorization A

Routing and content control

Credit rating

ESM Agent

ESM Agent

.NET

internet

Bank branches

Subsidiaries

Resellers

Partners (Insurance)

Content Guard Security Pattern

◆ Ability to Activate Policies for Short Durations

◆ Leverage WS-Policy Security Policies

- Authorization
- Authentication

◆ Allow Temporary Access to Systems and Services for Important, Irregular Situations

- Coalition Partners
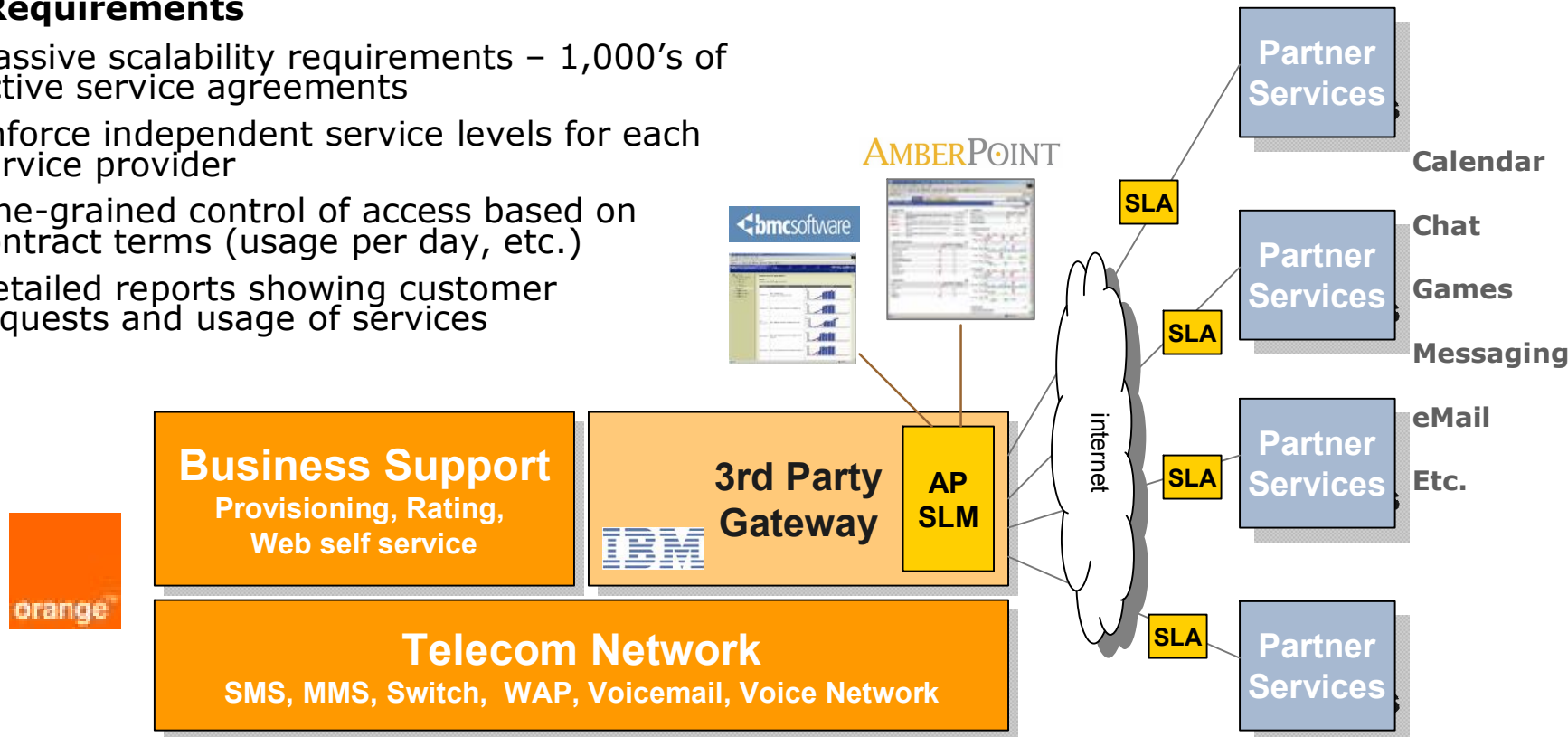- Other Branches of the Service (if you absolutely HAVE to ☺)

Telecommunications

Use of Service-oriented Applications

2nd largest European mobile provider (20€ B) that is moving to Web services as the standard interface for external mobile services such as calendaring, chat, games, messaging, etc.

Key Requirements

◆ Massive scalability requirements – 1,000's of active service agreements

◆ Enforce independent service levels for each service provider

◆ Fine-grained control of access based on contract terms (usage per day, etc.)

◆ Detailed reports showing customer requests and usage of services

3rd Party Services

AMBERPOINT
bmcsoftware

Business Support
Provisioning, Rating, Web self service

3rd Party Gateway
IBM
AP SLM

internet

Telecom Network
SMS, MMS, Switch, WAP, Voicemail, Voice Network

SLA — Partner Services — Calendar

SLA — Partner Services — Chat / Games

SLA — Partner Services — Messaging / eMail

SLA — Partner Services — Etc.

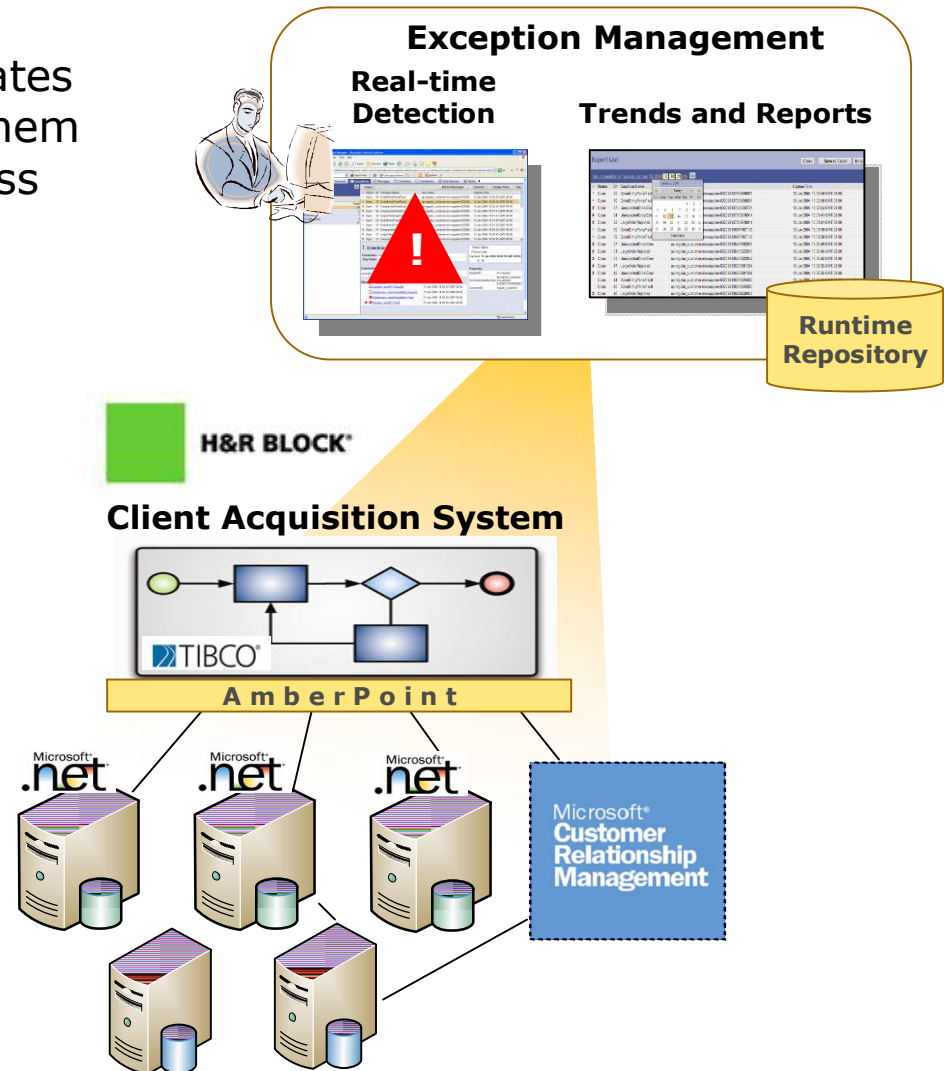SLA — Partner Services

AMBERPOINT

Financial Services

Client Acquisition System (CAS) aggregates leads from different systems, qualifies them and routes to branches based on business criteria.

Key Requirements

◆ Managed high-throughput environment – up to 5,000,000 msgs per day

◆ Captured and centrally logged process instance from end-to-end for debugging

◆ Eliminated need for developers to code custom error handling logic – services concentrate on business problem

◆ Used to handle business- and operations-level exceptions

Results

◆ Allowed reduction of support staff from 10 down to 3

◆ Allowed architect to mandate uniform error-handling guidelines

◆ 20% reduction in development costs

Exception Management

Real-time Detection

Trends and Reports

Runtime Repository

Client Acquisition System

AmberPoint

Microsoft Customer Relationship Management

Summary – Collective Wisdom

◆ If you use Traditional Management Tools and Techniques alone, you will fail because Traditional Management is an Operations Problem and SOA Management is an Application Problem

As a Result, the SOA Management System will be <u>Monitored</u> by your Operations Staff but <u>Used</u> by your Development and Tier II/Tier III Staffs to solve problems that they would otherwise have to write complex code to fix.

◆ Human Nature will derail your attempts to use Design-time Governance (UDDI Registries, etc.) but creative use of ESM can Solve this Issue

◆ Things will Break Differently and you won't be able to fix them the way you have been fixing them in the past

◆ You can Now do things that you could Never Do Before, especially with Security and Mining Data in Real Time

Did I Accomplish My Objective?

◆ Provide you with a few Tips, based upon Real World Experience, that will help you to be successful with Net Centric Computing (SOA)